

## REQUEST FOR PROPOSAL No. JF160296P

## **NUCLEAR REACTOR REPAIR PROJECT**

## PROPOSAL DUE DATE AND TIME:

January 11, 2013 3:00 PM PST

OSU Procurement and Contract Services Offices are open from 8:00 am – 12:00 noon and 1:00 pm – 5:00 pm.

Offices are closed during the 12:00 noon – 1:00 pm lunch hour.

## **SUBMITTAL LOCATION:**

Oregon State University
Procurement and Contract Services
644 SW 13<sup>th</sup> Avenue
Corvallis, Oregon 97333

#### 1.0 GENERAL

## 1.01 SCHEDULE OF EVENTS:

Request for Proposal Issue Date
 Pre-Proposal Conference
 December 4, 2012 (9:00 AM)
 Deadline for Request for Clarification or Change
 December 10, 2012 (4:00 pm, PST)

Proposal Due Date and Time\_\_\_\_\_\_\_January 11, 2013 (3:00 pm, PST)

This Schedule of Events is subject to change. Any changes will be made through the issuance of Written Addenda.

#### 1.02 PRE-PROPOSAL CONFERENCE:

A voluntary Pre-Proposal Conference will be held on Tuesday, December 4, 2012, 9:00 PM, PST at the OSU Radiation Center located at 3451 SW Jefferson Way, Corvallis, Oregon 97331. If you are unable to attend in person but wish to call in, a teleconference number will be available. Please contact the Administrative Contact listed in section 1.04 below for the teleconference number.

### 1.03 ISSUING OFFICE:

The Procurement and Contract Services (PaCS) department of Oregon State University (OSU) is the issuing office and is the sole point of contact for this Request for Proposal. Address all concerns or questions regarding this Request for Proposal to the Administrative Contact identified below:

## 1.04 ADMINISTRATIVE CONTACT:

Name:

James Figgins

Title:

Purchasing Analyst III

Telephone:

541-737-6995

Fax:

541-737-2170

E-Mail:

james.figgins@oregonstate.edu

#### 1.05 DEFINITIONS:

As used in this Request for Proposal, the terms set forth below are defined as follows:

- a. "Addenda" means an addition to, deletion from, a material change in, or general interest explanation of the Request for Proposal.
- b. "Exhibits" means those documents which are attached to and incorporated as part of the Request for Proposal.
- c. "Proposal" means an offer, binding on the Proposer and submitted in response to a Request for Proposal.
- d. "Proposer" means an entity that submits a Proposal in response to a Request for Proposal.
- e. "Proposal Due Date and Time" means the date and time specified in the Request for Proposal as the deadline for submitting Proposals.
- f. "Request for Proposal" (RFP) means a Solicitation Document to obtain Written, competitive Proposals to be used as a basis for making an acquisition or entering into a Contract when price will not necessarily be the predominant award criteria.
- g. "Responsible" means an entity that demonstrates their ability to perform satisfactorily under a Contract by meeting the applicable standards of responsibility outlined in OAR 580-061-0130.
- h. "Responsive" means a Proposal that has substantially complied in all material respects with the criteria outlined in the Request for Proposal.
- i. "Written or Writing" means letters, characters, and symbols inscribed on paper by hand, print, type, or other method of impression intended to represent or convey particular ideas or meanings.

#### 2.0 INTRODUCTION AND BACKGROUND

## 2.01 INTRODUCTION

Oregon State University (OSU) Procurement and Contract Services (PaCS) is seeking Responsive Responsible Proposers to submit Proposals for manufacturing of a new reflector, primary tank inspection and primary tank repair (if any) for the Oregon State University TRIGA Reactor. Work is scheduled to be completed by 12/01/13.

## 2.02 BACKGROUND:

The Radiation Center supports research, development and service programs involving nuclear science and engineering, radiation protection, and related disciplines. It provides a place especially designed for the use and handling of radioisotopes and other sources of ionizing radiation. Research totaling approximately two million dollars per year is performed at the Radiation Center by resident researchers. About 70% of projects use the reactor. This center has a need to perform work on the existing reactor per specifications stated in Exhibit D.

## 2.03 OREGON STATE UNIVERSITY:

Founded in 1868, Oregon State University is a comprehensive, research-extensive, public university located in Corvallis. OSU is a member of the Oregon University System and one of only two American universities to hold the Land Grant, Sea Grant, Space Grant and Sun Grant designations. OSU is also the only Oregon institution to hold the Carnegie Foundation's top ranking for research universities, a recognition of the depth and quality of OSU's graduate education and research programs.

Through its centers, institutes, Extension offices and Experiment Stations, OSU has a presence in almost every one of Oregon's 36 counties, including its main campus in Corvallis, the Hatfield Marine Sciences Center in Newport and OSU-Cascades Campus in Bend. OSU offers undergraduate, masters and doctoral degrees through 12 academic colleges enrolling more than 25,000 students from every county in Oregon, every state in the country and more than 90 nations.

## 3.0 SPECIFICATIONS / STATEMENT OF WORK

### 3.01 SAMPLE CONTRACT:

A sample Contract containing the statement of work and contractual terms and conditions is included at Exhibit A.

#### 4.0 PROPOSER QUALIFICATIONS

#### 4.01 MINIMUM QUALIFICATIONS:

In order to qualify as a Responsive Proposer, the Proposer should meet the minimum qualifications below. After verification that the minimum qualifications have been met, OSU will award points based on the level of the Proposer's qualifications.

- a. Capability to provide mechanical/structural engineering and program management.
- b. Capability to create fabrication drawings and specifications.
- c. Capability to provide construction and fabrication management.
- d. Must be able to identify, and had worked with, if not self-performed, vendors to supply non-destructive assay capability on aluminum tank walls.
- e. Must be able to identify, and had worked with, if not self-performed, vendors to supply aluminum welding capability.

- f. Must be able to identify, and had worked with, if not self-performed, vendor to provide aluminum tank lining services.
- a. Demonstrated experience with engineering projects involving research reactors.

## 4.02 PREFERRED QUALIFICATIONS:

OSU will award additional points for Proposers able to meet the preferred qualifications below.

a. Quality Assurance Program consistent with ASME NQA-1.

## 5.0 REQUIRED SUBMITTALS

## 5.01 QUANTITY OF PROPOSAL:

Submit one (1) original Proposal and three (3) duplicate copies. Mark original Proposal as "ORIGINAL". Original should contain original signatures on any pages where a signature is required. Proposals should contain the submittals listed in this section below:

### 5.02 REQUIRED SUBMITTALS:

It is the Proposer's sole responsibility to submit information in fulfillment of the requirements of this Request for Proposal. If pertinent information or required submittals are not included within the Proposal, it may cause the Proposal to be rejected or have an adverse impact on evaluation.

Proposers should submit the following information:

- Description of how the goods or services offered specifically meet the requirements stated in the Contract (exhibit A) and Statement of Work (exhibit D).
- Detailed information about how the Proposer meets the minimum and preferred qualifications detailed in section 4. At a minimum, Proposals should contain:
  - Past projects, of similar scope, demonstrating engineering resources, program management, and examples of fabricated drawings and specifications.
  - List of vendors previously associated with in supporting work as described in Statement of Work, Exhibit D.
  - Specific experience in self-performing, or working with vendors on aluminum welding, aluminum tank lining services, and non-destructive assay capability on aluminum tank walls.
- Detail schedule indicating timelines, milestones, and critical path items showing completion by 12/01/13.
- List of key personnel that will be assigned to the project.
- Exhibit B, Certifications, fully completed.
- Exhibit C, References, fully completed.
- Exhibit E, Disclosure of Lobbying Activities, fully completed.
- Exhibit F, Pricing Sheet, fully completed

#### 6.0 EVALUATION AND AWARD

#### 6.01 EVALUATION:

The stages of review and evaluation are as follows:

a. Determination of Responsiveness:
OSU will first review all Proposals to determine Responsiveness. Proposals that do not comply with the instructions or are incomplete may be deemed non-Responsive. Written notice will be sent to

Proposers whose Proposal is deemed non-Responsive identifying the reason. A proposer has the right to appeal the decision pursuant to OAR 580-061-130(5)(a).

b. First Stage Evaluation:

Those Proposals determined to be Responsive will be evaluated using the required submittals. Proposals will be scored based on the evaluation criteria listed below in section 6.03. Scores will be used to determine Proposer's within a competitive range. The competitive range will be made of Proposers whose individual scores, when viewed together, form a group of the highest ranked Proposers above a natural break in the scores.

OSU may award after the first stage evaluation to the highest ranked Proposer without moving on to the second stage evaluation. If this option is selected, Written notice of intent to award the Contract to the highest ranked Proposer will be provided to all Responsive Proposers.

c. Second Stage Evaluation:

If award is not made after the first stage evaluation, OSU may choose any of the following methods in which to proceed:

- i. Issue a Written invitation to Proposers within the competitive range requesting an interview, presentation, site visit or any other evaluative method that is relevant to the goods or services solicited in the Request for Proposal. Written invitations will contain the evaluation criteria and scoring that will be used by the evaluation committee.
- ii. Engage in oral or Written discussions with and receive best and final Proposals from all Proposers in the Competitive Range or all Proposers submitting Responsive Proposals. Discussions may be conducted for the following purposes:
  - Informing Proposers of deficiencies in their initial Proposals;
  - Notifying Proposers of parts of their Proposals for which OSU would like additional information; or
  - Otherwise allowing Proposers to develop revised Proposals that will allow OSU to obtain the best Proposal based on the requirements set forth in this Request for Proposal.

The conditions, terms, or price of the Proposal may be altered or otherwise changed during the course of the discussions provided the changes are within the scope of the Request for Proposal. Best and final Proposals will be scored based on the evaluation criteria listed below in section 6.03.

Points awarded in the first stage evaluation will not be carried to the second stage evaluation. Contract will be awarded to the Proposer who in OSU's opinion, best meets the requirements and qualifications of the RFP and OSU's needs.

d. Additional Stages of Evaluation:

If after completion of the second stage of evaluation, an award is not made, OSU may add another stage of evaluation using any of the methods outlined in the second stage evaluation above.

#### 6.02 NEGOTIATIONS:

OSU may commence serial negotiations with the highest-ranked Proposer or commence simultaneous negotiations with all eligible Proposers. OSU may negotiate:

- a. The statement of work;
- b. The Contract price as it is affected by negotiating the statement of work; and

c. Any other terms and conditions determined by OSU in its sole discretion to be reasonably related to those expressly authorized for negotiation. Accordingly, Proposers will not submit and OSU will not accept for negotiation, any alternative terms and conditions that are not reasonably related to those expressly authorized for negotiation.

Terms and conditions within the sample contract that are unrelated to the statement of work or Contract price may be negotiated after award, but before legal sufficiency review or execution of the Contract.

## 6.03 EVALUATION CRITERIA:

Points will be given in each criteria and a total score will be determined. The maximum points available for each criteria are identified below.

| Evaluation Criteria:  | <u>Points:</u>  |
|---|-----------------|
| Proposal relative to the Contract (Exhibit A)   | 10              |
| Proposal relative to the Statement of Work (Exhibit D)  | 50              |
| Proposers qualifications relative to the minimum qualifications (Section 4.01)  | 10              |
| Proposers qualifications relative to the preferred qualifications (Section 4.02)  | 10              |
|   | 20              |
|   | <del>10</del> 0 |
| Proposers qualifications relative to the minimum qualifications (Section 4.07)  Proposers qualifications relative to the preferred qualifications (Section 4.02)  Price of the goods or services  Total | 10<br><u>20</u> |

## 6.04 INVESTIGATION OF REFERENCES:

OSU reserves the right to investigate and to consider the references and the past performance of any Proposer with respect to such things as its performance or provision of similar goods or services, compliance with specifications and contractual obligations, and its lawful payment of suppliers, subcontractors, and workers. OSU further reserves the right to consider past performance, historical information and facts, whether gained from the Proposal, Proposer interviews, references, OSU or any other source in the evaluation process. OSU may postpone the award or execution of the Contract after the announcement of the notice of intent to award in order to complete its investigation.

## 7.0 INSTRUCTIONS TO PROPOSERS

## 7.01 APPLICABLE STATUTES AND RULES:

This RFP is subject to the applicable provisions and requirements of the Oregon Revised Statutes, Oregon Administrative Rules, and OSU Policies and Procedures.

## 7.02 REQUEST FOR CLARIFICATION OR CHANGE:

Requests for clarification or change of the Request for Proposal must be in Writing and received by the Administrative Contact no later than the Deadline for Request for Clarification or Change as specified in the Schedule of Events. Such requests for clarification or change must include the reason for the Proposer's request. OSU will consider all timely requests and, if acceptable to OSU, amend the Request for Proposal by issuing an Addendum. Envelopes, e-mails or faxes containing requests should be clearly marked as a Request for Clarification or Change and include the RFP Number and Title.

#### 7.03 ADDENDA:

Only documents issued as Written Addenda by PaCS serve to change the Request for Proposal in any way. No other direction received by the Proposer, written or verbal, serves to change the Request for Proposal. PaCS will notify potential Proposers through publication of the Addenda on the OUS procurement website. If you have received a Request for Proposal you should consult the OUS procurement website, prior to Proposal submittal, to assure that you have not missed any Addenda. Proposers are not required to return Addenda with their Proposal. However, Proposers are responsible for obtaining and incorporating any changes made by

the Addendum into their Proposal. Failure to do so may, in effect, make the Proposal non-Responsive, which may cause the Proposal to be rejected.

### 7.04 PREPARATION AND SIGNATURE:

All Required Submittals must be Written or prepared in ink and signed in ink by an authorized representative with authority to bind the Proposer. Signature certifies that the Proposer has read, fully understands, and agrees to be bound by the Request for Proposal and all Exhibits and Addenda to the Request for Proposal.

### 7.05 PUBLIC RECORD:

Upon completion of the Request for Proposal process, information in your Proposal will become subject records under the Oregon Public Records Law. Only those items considered a "trade secret" under ORS 192.501(2), may be exempt from disclosure. If a Proposal contains what the Proposer considers a "trade secret" the Proposer must mark each sheet of information as such. Only bona fide trade secrets may be exempt and only if public interest does not require disclosure.

#### 7.06 SUBMISSION:

Proposals must be submitted in a sealed envelope and be delivered to the submittal location listed on the Request for Proposal cover sheet no later than the Proposal Due Date and Time. Proposer must specify on the outside of the envelope the Request for Proposal number, the Request for Proposal Due Date and Time. **E-MAIL OR FACSIMILE PROPOSALS WILL NOT BE ACCEPTED.** 

#### 7.07 MODIFICATION:

Prior to submittal, Proposers should initial modifications or erasures in ink by the person signing the Proposal. After submittal but prior to the Proposal Due Date and Time, Proposals may be modified by submitting a Written notice indicating the modifications and a statement that the modification amends and supersedes the prior Proposal. After the Proposal Due Date and Time, Proposers may not modify their Proposal.

## 7.08 WITHDRAWALS:

A Proposer may withdraw their Proposal by submitting a Written notice to the Administrative Contact identified in this Request for Proposal prior to the Proposal Due Date and Time. The Written notice must be on the Proposer's letterhead and signed by an authorized representative of the Proposer. The Proposer, or authorized representative of the Proposer, may also withdraw their Proposal in person prior to the Proposal Due Date and Time, upon presentation of appropriate identification and evidence of authority to withdraw the Proposal satisfactory to OSU.

#### 7.09 LATE SUBMITTALS:

Proposals and Written notices of modification or withdrawal must be received no later than the Proposal Due Date and Time. OSU may not accept or consider late Proposals, modifications, or withdrawals except as permitted in OAR 580-061-0120.

### 7.10 PROPOSAL OPENING:

Proposals will be opened immediately following the Proposal Due Date and Time at the Submittal Location. Proposer may attend the Proposal opening. Only the names of the Proposers submitting Proposals will be announced. No other information regarding the content of the Proposals will be available.

## 7.11 PROPOSALS ARE OFFERS:

The Proposal is the Proposer's offer to enter into a Contract pursuant to the terms and conditions specified in the Request for Proposal, its Exhibits, and Addenda. The offer is binding on the Proposer for one hundred twenty (120) days. OSU's award of the Contract constitutes acceptance of the offer and binds the Proposer. The Proposal must be a complete offer and fully Responsive to the Request for Proposal.

#### 7.12 CONTINGENT PROPOSALS:

Proposer shall not make its Proposal contingent upon OSU's acceptance of specifications or contract terms that conflict with or are in addition to those in the Request for Proposal, its Exhibits, or Addenda.

#### 7.13 RIGHT TO REJECT:

OSU may reject, in whole or in part, any Proposal not in compliance with the Request for Proposal, Exhibits, or Addenda, if upon OSU's Written finding that it is in the public interest to do so. OSU may reject all Proposals for good cause, if upon OSU's Written finding that it is in the public interest to do so. Notification of rejection of all Proposals, along with the good cause justification and finding of public interest, will be sent to all who submitted a Proposal.

### 7.14 AWARDS:

OSU reserves the right to make award(s) by individual item, group of items, all or none, or any combination thereof. OSU reserves the right to delete any item from the award when deemed to be in the best interest of OSU.

### 7.15 PROPOSAL RESULTS:

A notice of intent to award containing the Proposal results will be issued to all Proposers. The Proposal file will be available for Proposer's review during the protest period at the PaCS Department. Proposers must make an appointment with the Administrative Contact to view the Proposal file. After the protest period, the file will be available by making a Public Records Request to OSU.

#### 7.16 PROPOSAL PREPARATION COST:

OSU is not liable for costs incurred by the Proposer during the Request for Proposal process.

## 7.17 PROPOSAL CANCELLATION:

If a Request for Proposal is cancelled prior to the Proposal Due Date and Time, all Proposals that may have already been received will be returned to the Proposers. If a Request for Proposal is cancelled after the Proposal Due Date and Time or all Proposals are rejected, the Proposals received will be retained and become part of OSU's permanent Proposal file.

#### 7.18 PROTEST OF CONTRACTOR SELECTION, CONTRACT AWARD:

Any Proposer who feels adversely affected or aggrieved may submit a protest within seven (7) calendar days after OSU issues a notice of intent to award a Contract. The protest must be clearly identified as a protest, identify the type and nature of the protest, and include the Request for Proposal number and title. The rules governing protests are at OAR 580-061-0145.

# EXHIBIT A SAMPLE CONTRACT

This Contract is between the State of Oregon acting by and through the State Board of Higher Education on behalf of Oregon State University for the benefit of its Radiation Center ("OSU"), and (Input Contractor Here) ("Contractor").

WHEREAS, OSU, through its Procurement and Contract Services ("PaCS") competitively solicited for the services outlined in this Contract under Request for Proposal number JF160296 entitled Nuclear Reactor Repair Project and was selected as the Proposer best able to provide these services; and

WHEREAS, Contractor understands the requirements for the services outlined in this Contract, and is willing and able to provide, in accordance with the terms of this Contract, the services;

NOW, THEREFORE, OSU and Contractor agree as follows:

## 1. CONTRACT TERM AND TERMINATION:

#### A. CONTRACT TERM.

This Contract is effective on the date of last signature and expires on the date Contractor has completed all services in accordance with the requirements of this Contract, and the services have been accepted by OSU.

#### B. TERMINATION.

This Contract may be terminated at any time by mutual consent of both parties or by OSU upon thirty (30) days' written notice. In addition, OSU may terminate this Contract at any time by written notice to Contractor if (a) Federal or state statutes, regulations or guidelines are modified or interpreted in such a way that the services are no longer allowable or appropriate for purchase under this Contract; (b) any license or certificate required by law or regulation to be held by the Contractor to provide the services required by this Contract is for any reason denied, revoked, or not renewed; or (c) OSU fails to receive funding, appropriations, allocations or other expenditure authority as contemplated by OSU's budget and OSU determines, in its assessment and ranking of the policy objectives explicit or implicit in OSU's budget, that it is necessary to terminate the Contract, or (d) if the OSU program for which this Contract was executed is abolished. OSU may also terminate this Contract at any time by written notice for default (including breach of contract) if (a) Contractor fails to timely provide services or materials called for by this Contract; or (b) Contractor fails to perform any of the other provisions of this Contract, or so fails to pursue the work as to endanger performance of this Contract in accordance with its terms and conditions, and after receipt of written notice from OSU, fails to correct such failures within ten (10) days. Termination of this Contract under this Section or any other section is without prejudice to OSU's other rights and remedies.

## C. REMEDIES FOR CONTRACTOR'S DEFAULT.

In the event Contractor is in default (which includes without limitation, incomplete services), OSU may, at its option, pursue any or all of the remedies available to it under this Contract and at law or in equity, including, but not limited to: (a) rejection of the services, (b) requiring Contractor to correct any defects without charge, (c) negotiation with Contractor to sell the services to OSU at a reduced price, (d) termination of the Contract, (e) withholding all moneys due for the services Contractor has failed to deliver within any scheduled completion dates or has performed inadequately or defectively, (f) initiation of an action or proceedings for damages, specific performance, or declaratory or injunctive relief, or (g) exercise of its right of set off. These remedies are cumulative to the extent the remedies are not inconsistent, and OSU may pursue any remedy or remedies singly, collectively, successively, or in any order whatsoever.

#### 2. STATEMENT OF WORK:

A. REQUIRED SERVICES, DELIVERABLES AND DELIVERY SCHEDULE.
Contractor shall provide OSU with the following services during the Term of this Contract:

Services, Deliverables, and Schedule per Statement of Work (Attachment A)

#### B. KEY PERSONS.

Contractor and OSU agree that each individual specified below is an individual whose special qualifications and involvement in Contractor's performance of services form part of the basis of agreement between the parties for this Contract and is an individual through whom Contractor shall provide to OSU the expertise, experience, judgment, and personal attention required to perform services ("Key Person"). Each of the following is a Key Person under this Contract:

[List name, title, identify the specific services each Key Person is required to perform under this Contract.]

Neither Contractor nor any Key Person of Contractor shall delegate performance of services any Key Person is required to perform under this Contract to others without first obtaining OSU's written consent. Further, Contractor shall not, without first obtaining OSU's prior written consent, re-assign or transfer any Key Person to other duties or positions so that the Key Person is no longer available to provide OSU with that Key Person's expertise, experience, judgment, and personal attention. If Contractor requests OSU to approve a re-assignment or transfer of a Key Person, OSU shall have the right to interview, review the qualifications of, and approve or disapprove the proposed replacement(s) for the Key Person. Any individual OSU approves as a replacement for a Key Person is deemed a Key Person under this Contract.

## C. ACCEPTANCE OF SERVICES.

Services furnished under this Contract are subject to acceptance by OSU.

#### 3. COMPENSATION:

The total amount available for payment to Contractor and for authorized reimbursement to Contractor will be determined based on negotiations from proposal and shown in Attachment B (pricing Sheet).

## A. METHOD OF PAYMENT FOR SERVICES.

The total amount available for payment to Contractor and for authorized reimbursement to Contractor will be \$(negotiated fixed cost). Conditions identified outside of the Statement of Work will based on Time and Materials at the hourly rate listed in Attachment B. All work outside of the Statement of Work must be reviewed and approved by OSU.

#### B. BASIS OF PAYMENT FOR SERVICES.

OSU shall pay Contractor monthly progress payments upon OSU's approval of Contractor's invoice submitted to OSU for completed services, but only after OSU has determined that Contractor has completed, and OSU has accepted the completed services.

#### C. EXPENSE REIMBURSEMENT.

OSU will not reimburse Contractor for any expenses under this Contract.

D. INVOICES AND PAYMENT TO CONTRACTOR.
 Contractor shall send invoices to OSU for services accepted at the address specified for OSU's

Department in Section 5Q. Contractor shall include in each invoice: contract number, a detailed description of services performed, the dates services were performed, the rate(s) for services, the total cost, the amount due, and the Contractor's payment address. Payment terms are Net 30. After 45 days, Contractor may assess overdue account charges up to a maximum of two-thirds of one percent (2/3 of 1%) per month or eight percent (8%) per annum on the outstanding balance pursuant to ORS 293.462.

## 4. INSURANCE AND INDEMNIFICATION:

### A. LIABILITY INSURANCE.

During the term of this Contract, Contractor shall maintain in force at its own expense and keep in effect during the term of this Contract liability insurance with the limit specified below for bodily injury, property damage, errors, omissions or negligent acts. Insurance policies are to be issued by an insurance company authorized to do business in the State of Oregon with an A.M. Best rating of at least A-VII. If requested, Contractor shall provide proof of insurance of said insurance policy.

- Professional Liability

Limit for any single claimant per occurrence:

From commencement of the Contract term to Final Acceptance by OSU

\$5,000,000.

## B. WORKERS' COMPENSATION.

The Contractor, its subcontractors, if any, and all employers providing work, labor or materials under this Contract are subject employers under the Oregon Workers' Compensation law and shall comply with ORS 656.017, which requires them to provide workers' compensation coverage that satisfies Oregon law for all their subject workers, unless such employees are exempt under ORS 656.126.

## C. PROPERTY INSURANCE.

The Contractor must maintain Property Insurance during the term of the Contract that covers all property used for Contract work and all Contractor-owned property that is stored at OSU.

## D. PRIMARY COVERAGE.

Insurance carried by Contractor under this Contract shall be the primary coverage and OSU's insurance is excess and solely for damages or losses for which OSU is responsible.

## E. CERTIFICATES OF INSURANCE.

As evidence of the insurance coverages required by this Contract, the Contractor shall furnish Certificate(s) of Insurance to the OSU Contract Administrator, upon request. In such case, Contractor shall ensure that the Certificate(s) specifies all additional insureds (or loss payees). Contractor shall be financially responsible for all deductibles, self-insured retentions and/or self-insurance included hereunder.

#### F. NOTICE OF CANCELLATION OR CHANGE.

Contractor shall not cause or permit any cancellation, material change, potential exhaustion of aggregate limits or notice of intent not to renew insurance coverage(s) without 30 days' written notice from the Contractor or its insurer(s) to OSU's Contract Administrator.

G. INDEMNITY AND RESPONSIBILITY FOR DAMAGES. (a). Contractor shall indemnify and hold harmless OSU, the Oregon State Board of Higher Education and their respective officers, board members, employees, agents and other representatives against claims, expenses, or losses: (i) that result from Contractor's negligence, wrongful acts or willful misconduct, or (ii) alleging Contractor's services, information or materials supplied by Contactor to OSU under this Contract, or

OSU's use of any of the foregoing infringes on any patent, copyright, trade secret, trademark, or other proprietary right of a third party. (b) OSU's right to receive indemnification under this Section is conditioned upon OSU giving reasonably prompt notice and assistance of any claim; provided however, that OSU's failure to provide notice and assistance does not limit OSU's right to indemnification except to the extent such failure or assistance materially affects Contractor's ability to defend the claim. (c) Contractor's indemnification obligation under this Section includes but is not limited to all of OSU's expenses of litigation, court costs and reasonable attorney fees.

## 5. GENERAL TERMS AND CONDITIONS:

## A. ACCESS TO RECORDS AND AUDIT.

Contractor shall maintain accurate books, records, documents, and other evidence (collectively, "Records") following accounting procedures and practices sufficient to reflect properly all costs of whatever nature claimed to have been incurred and anticipated to be incurred in the performance of this Contract. Contractor shall permit OSU, the Oregon State Board of Higher Education, Oregon Secretary of State, the federal government, and their duly authorized representatives to have access to the Records that are directly pertinent to this Contract for the purpose of conducting an audit, or other examination, or for creating excerpts or transcripts. Contractor shall maintain Records for OSU's review for at least six years beyond the term of the Contract. Contractor shall promptly remedy any discrepancies involving deviation from the terms of this Contract and shall promptly reimburse OSU for any commitments or expenditures found by OSU to have been in excess of amounts authorized by OSU under this Contract.

#### B. AFFIRMATIVE ACTION.

Pursuant to OAR 580-061-0030, Contractor certifies that Contractor has not discriminated against Minority, Women or Emerging Small Business Enterprises in obtaining any required subcontracts.

#### C. AMENDMENTS.

No amendment to this Contract is effective unless it is in writing, identifies itself as an amendment to this Contract and is signed by the parties identified on the signature page of this Contract.

## D. ASSIGNMENT/SUBCONTRACT/DELEGATION.

Contractor shall not assign, subcontract, delegate or otherwise transfer any of its rights or obligations under this Contract, without the prior written approval of PaCS. Any assignment of rights or delegation of duties is prohibited under this Section, whether by merger, consolidation, dissolution, operation of law or any other manner. Any purported assignment of rights or delegation of duties in violation of this Section is void. OSU's consent to delegation does not relieve Contractor of any of its performance obligations.

## E. APPLICABLE LAW; JURISDICTION AND VENUE.

(a) The laws of the State of Oregon (without giving effect to its conflict of laws principles or laws) govern all matters arising out of or relating to the Contract, including, without limitation, its validity, interpretation, construction, performance or enforcement. Any party bringing a legal action or proceeding against the other party arising out of or relating to this Contract shall bring the legal action or proceeding in the Circuit Court of Oregon for Benton County. (b) Notwithstanding paragraph (a), if a legal action or proceeding must be brought in a federal forum, the party shall bring the legal action or proceeding in the United States District Court for the District of Oregon. This paragraph does not authorize Contractor to bring a legal action or proceeding against OSU in a federal forum except to the extent Congress has validly abrogated OSU's sovereign immunity. This paragraph is also not a waiver by OSU of any form of immunity, including without limitation sovereign immunity and immunity based on the Eleventh Amendment to the United States Constitution. (c) Except as set forth in paragraph (b), the parties consent to in personam jurisdiction in the above courts and waive any objection to venue and any objection that the forum is inconvenient.

## F. COMPLIANCE WITH APPLICABLE LAWS AND POLICIES.

In execution and performance of this Contract, Contractor agrees to comply with all applicable federal, state, and local laws and regulations and to comply with all applicable policies of the Oregon University System and OSU, including without limitation any such laws or regulations regarding employment discrimination. If this Contract is being funded with federal funds, Contractor agrees to comply with all applicable federal contracting statutes, regulations and policies.

#### G. CONFIDENTIALITY.

This Contract is subject to the limitations and conditions of the Oregon Public Records Law, ORS 192.410-192.505.

## H. EXECUTION AND COUNTERPARTS.

This Contract may be executed by facsimile or PDF and in two or more counterparts, each of which shall be deemed an original and all of which together shall constitute one instrument.

#### I. EXPORT CONTROL.

Contractor acknowledges that OSU has students and faculty who are foreign nationals who may work with the services, product or technology received from Contractor pursuant to this Contract. Contractor represents that it has informed OSU in writing, prior to executing this Contract if it is providing OSU any product or technology subject to the U.S. Export Administration Act of 1979, the Export Administration Regulations and the International Traffic in Arms Regulations, and if so, under what Commerce Control List number(s) or U.S. Munitions List number(s) it is controlled.

## J. FIREARMS POLICY.

The State Board of Higher Education has adopted a policy that prohibits Contractor and Contractor's employees, agents, and subcontractors from possessing firearms on Board-owned or Board-controlled property.

#### K. FORCE MAJEURE.

Neither party is responsible for delay caused by an act or event that prevents the party from performing its obligations under this Contract where such cause is beyond the party's reasonable control and the nonperforming party has been unable to avoid or overcome the act or event by the exercise of due diligence. Such acts or events include without limitation fire, riot, acts of nature, terrorist acts, or other acts of political sabotage or war. Contractor shall make all reasonable efforts to remove or eliminate such a cause of delay and shall, upon cessation of the cause, diligently pursue performance of its obligations under this Contract. However, if delay due to a force majeure event continues for an unreasonable time, as determined by OSU, then OSU is entitled to terminate the Contract.

## L. GOVERNMENT EMPLOYMENT STATUS:

Contractor certifies that either (a) it is not currently employed by OSU, Oregon University System, or the federal government; or (b) if Contractor is so employed, Contractor has fully disclosed to OSU in writing such employment status, is in full compliance with any statutes, regulation, and OSU, Oregon University System, or the federal government policies regarding employee contracting, and agrees to indemnify and hold harmless OSU for any failure by Contractor to comply with such statutes, regulations, or policies.

## M. INDEPENDENT CONTRACTOR STATUS.

The services to be rendered under this Contract are those of an independent contractor. OSU reserves the right (a) to determine and modify the delivery schedule for the services and (b) to evaluate the quality of the services; however, OSU may not and will not control the means or manner of Contractor's performance. Contractor is responsible for determining the appropriate means and manner of performing the services. Contractor is not an officer, employee or agent of OSU as those terms are used in ORS 30.265. Contractor has no authority to act on behalf of OSU and shall not purport to make any representation, contract, or commitment on behalf of OSU.

N. INTELLECTUAL PROPERTY. Contractor agrees that all right, title, and interest constituting copyrights, trademarks, trade secrets, patents, or any other proprietary rights (collectively, "Intellectual Property Rights") that Contractor <u>CREATES</u> in connection with this Contract will be exclusive property of OSU (the "Work Product"). Contractor shall assign, and does hereby assign, to OSU all Intellectual Property Rights subsisting in the Work Product. To the extent Intellectual Property Rights in any information or materials supplied to OSU by Contractor is not subject to assignment, Contractor shall grant, and does hereby grant, to OSU a nonexclusive, worldwide, sublicensable, perpetual, irrevocable license to use, make, sell, offer to sell, import, reproduce, create derivative works of, distribute, display, perform and to otherwise exploit any deliverable or Intellectual Property Rights in any deliverable.

#### O. MERGER.

THIS CONTRACT CONSTITUTES THE ENTIRE AGREEMENT BETWEEN THE PARTIES. IT IS THE COMPLETE AND EXCLUSIVE EXPRESSION OF THE PARTIES' AGREEMENT ON THE MATTERS CONTAINED IN THIS CONTRACT.

## P. MWESB REPORTING LANGUAGE PROVISION.

Upon request by OSU, Contractor is required to provide a report on the dollar volume of products provided under the Contract which are purchased by the Contractor from firms which are defined as follows:

**Disabled Veteran Enterprise** means a business that is at least 51% owned by one or more disabled veterans. A disabled veteran is a veteran of the military, naval, or air service of the United States with a service connected disability who is a resident of the State of Oregon. To qualify as a veteran with a service connected disability, the person must be currently declared by the United States Veterans Administration to be 10% or more disabled as a result of service in the armed forces. The business must be licensed and registered in the state of Oregon.

Disadvantaged Business Enterprise (DBE) is a small business with average annual gross receipts less than \$17,420,000. The business must be owned and controlled by one or more socially and economically disadvantaged individual(s). The one or more socially and economically disadvantaged individual(s) must have made a contribution of capital to the business, which is commensurate with their ownership interest. Socially and economically disadvantaged individual(s) are people who have been subjected to racial or ethnic prejudice or cultural bias because of their identity as members of a group without regard to their individual qualities. The business must be independent. The business must be licensed and registered in the state of Oregon.

Emerging Small Business, (defined in ORS 200.005), is a licensed and registered business located in Oregon for which the average annual gross receipts for the three previous tax years do not exceed \$3,266,219 for construction and \$1,088,740 for non-construction businesses. The business must have fewer than 29 employees.

Minority Business Enterprise, (defined in ORS 200.005), is a business which is at least 51% owned by one or more minority individuals or, in the case of any publicly owned business, at least 51% of the stock of which is owned by minority individuals. Minority individuals are Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, and Asian-Indian Americans. The business

must be licensed and registered in the state of Oregon.

Women Business Enterprise, (defined in ORS 200.005), is a business which is at least 51% owned by one or more women. The woman or women must have managerial and operational control over all aspects of the business. The one or more women owner(s) must have made a real and substantial contribution of capital or expertise to the business, which is commensurate with their ownership interest. The business must be licensed and registered in the state of Oregon.

This report shall be in consolidated form showing all such purchases under the Contract. This report will be provided on an annual basis to OSU. This report will provide a cumulative figure that shows year to date amounts for each supplier ownership category.

## Q. NOTICE.

(a) A party giving or making any notice, request, demand or other communication (each a "Notice") pursuant to this Contract shall give the Notice in writing and use one of the following methods of delivery: personal delivery, United States Postal Service Registered or Certified Mail (return receipt requested and postage prepaid), overnight courier (with all fees prepaid), facsimile or e-mail to the other party's address as listed on the signature page of this Contract. Notice to OSU is to be delivered to PaCS except where this Contract expressly directs or permits delivery of Notice to a different Department. (b) Notice is effective: (i) if given by facsimile, upon receipt by the sending party of an appropriate facsimile confirmation; (ii) if given by e-mail, by confirmation of receipt by return e-mail, which is not satisfied by an automatically-generated message that the recipient is out of the office or otherwise unavailable; or (iii) if given by any other means, when delivered at the address specified in this Section.

OSU Contract Administrator and: OSU Departmental Administrator

James Figgins Purchasing Analyst III 644 SW 13<sup>th</sup> Street Corvallis, Oregon 97333 541-737-6995 541-737-2170 james.figgins@oregonstate.edu

## **CONTRACTOR Contract Administrator**

[Name]

[Title]

[Address]

[City, State, Zip]

[Phone Number]

[Fax Number]

[E-Mail Address]

### R. ORDER OF PRECEDENCE.

In the event of a conflict, all the terms and conditions of this Contract, its exhibits, and any amendments thereto supersede all terms and conditions on any forms used by the Contractor.

#### S. OSU NAME AND TRADEMARK.

Contractor shall not identify this Contract, nor use OSU's names, trademarks, service marks, or other proprietary marks in any of Contractor's marketing material, advertising, press releases, publicity matters or other promotional materials without the prior written consent of OSU, which consent may be withheld in OSU's sole discretion.

#### T. PARKING.

Contractors doing business on the OSU campus may be required to have a permit to park if utilizing restricted street parking or parking lots. Contractor parking permits may be obtained through OSU's Office of Transit & Parking Services.

## U. PUBLIC RECORDS LAW NOTICE.

OSU advises Contractor that information OSU receives may be subject to public inspection under Oregon Public Records Law (ORS 192.410-192.505).

## V. RECYCLABLE PRODUCTS.

Contractors will use recyclable products to the maximum extent economically feasible in the performance of the Contract. All recyclable products proposed must be approved by OSU prior to usage.

## W. RETIREMENT SYSTEM STATUS.

Contractor is not a contributing member of the Public Employees' Retirement System and will be responsible for any federal or state taxes applicable to payment under this Contract. Contractor will not, by virtue of this Contract, be eligible for federal Social Security, employment insurance, workers' compensation or the Public Employees' Retirement System, except as a self-employed individual.

## X. SAFETY AND HEALTH REQUIREMENTS/HAZARD COMMUNICATION:

Services supplied under this Contract shall comply with all federal Occupational Safety and Health Administration (OSHA) requirements and with all Oregon safety and health requirements, including those of the State of Oregon Workers' Compensation Division. Contractor shall notify OSU prior to using products containing hazardous chemicals to which OSU employees may be exposed. Products containing hazardous chemicals are those products defined by Oregon Administrative Rules, Chapter 437. Upon OSU's request, Contractor shall immediately provide Material Safety Data Sheets, as required by OAR 437-155-025, for the products subject to this provision.

### Y. SALES AND USE TAXES.

OSU shall pay all applicable sales, excise, or use taxes in connection with this Contract. Invoices shall separately identify all such taxes and shall include either Contractor's sales tax or use tax permit number. Contractor shall be responsible for all other taxes, including taxes based upon Contractor's income. Contractor shall indemnify, defend, and hold harmless OSU from and against any interest, penalties, or other charges resulting from the non-payment or late payment of taxes or other charges for which Contractor failed to invoice OSU or which Contractor otherwise failed to pay in a timely manner.

#### Z. SEVERABILITY.

If any provision of this Contract is determined to be invalid, illegal or unenforceable, the remaining provisions of this Contract remain in full force and effect if the essential terms and conditions of this Contract for both parties remain valid, legal and enforceabletract.

## AA. SEXUAL HARASSMENT POLICY.

The State Board of Higher Education has adopted policies that prohibit sexual harassment of members of the OSU community and in keeping with those policies Contractor and Contractor's employees, agents, and subcontractors are prohibited from engaging in sexual harassment of members of the OSU community.

## BB. SMOKING POLICY.

OSU has adopted a policy that prohibits Contractor and Contractor's employees, agents, subcontractors from smoking on the OSU campus or other OSU owned property. The smoking prohibition includes all indoor and outdoor spaces.

## CC. SURVIVAL.

Sections A (Access to Records), E (Applicable Law; Jurisdiction and Venue), D (Assignment/Subcontract/Delegation), 4.G (Indemnity), N (Intellectual Property), S (OSU Name and Trademark), and CC (Survival) of this Section 5 survive Contract termination or expiration.

## DD. TAX COMPLIANCE CERTIFICATION.

Pursuant to ORS 305.385(6), the person signing on behalf of Contractor certifies under penalty of perjury that Contractor, to the best of the person's knowledge, is not in violation of any tax laws described in 305.380(4).

## EE.NO THIRD PARTY BENEFICIARY.

OSU and Contractor are the only parties to this Contract and are the only parties entitled to enforce its terms. Nothing in this Contract gives, is intended to give, or shall be construed to give or provide any benefit or right, whether directly, indirectly, or otherwise, to third parties

#### FF. WAIVER.

No waiver of an obligation under this Contract is effective unless it is in writing and signed by the party granting the waiver. No failure or delay in exercising any right or remedy, or in requiring the satisfaction of any condition under this Contract operates as a waiver or estoppel of any right, remedy or condition.

## GG. WEBSITE ACCESSIBILITY.

If Contractor is designing or developing web page(s) for OSU under this Contract, Contractor shall design and develop (as applicable) the web page(s) in conformance with OSU's Policy on Information Technology Accessibility available at <a href="http://oregonstate.edu/accessibility/ITpolicy">http://oregonstate.edu/accessibility/ITpolicy</a>.

## 6. FEDERALLY REQUIRED PROVISIONS.

- (a) **Equal Employment Opportunity** Contractor shall comply with E.O. 11246, "Equal Employment Opportunity," as amended by E.O. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."
- (b) Rights to Inventions Made Under a Contract or Agreement If this Contract is for the performance of experimental, developmental, or research work, the Federal Government and OSU have rights in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by the awarding agency.
- (c) Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended If this Contract provides for payments in excess of \$100,000, Contractor shall comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq.). Violations shall be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).
- (d) Byrd Anti-Lobbying Amendment (31 U.S.C. 1352) Contractors who apply or bid for an contract of more than \$100,000 shall file a certification that it will not and has not used Federally appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, officer or employee of Congress, or an employee of a Member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Contractor shall require any subcontractor who applies or bids for subcontract in excess of \$100,000 to provide a similar certification to the next higher tier (Contractor or subcontractor as applicable). Each tier shall also disclose any lobbying with non-Federal funds in connection with obtaining any Federal award. Contractor or subcontractor must forward any disclosures from tier to tier up to OSU.

- (e) Debarment and Suspension (E.O.s 12549 and 12689) No contract shall be made to parties listed on the General Services Administration's List of Parties Excluded from Federal Procurement or Nonprocurement Programs in accordance with E.O.s 12549 and 12689, "Debarment and Suspension." This list contains the names of parties debarred, suspended, or otherwise excluded by agencies, and contractors declared ineligible under statutory or regulatory authority other than E.O. 12549. If this Contract is in excess of the small purchase threshold, Contractor hereby certifies they are not listed on the General Services Administration's List of Parties Excluded from Federal Procurement or Nonprocurement Programs.
- (f) American-made Equipment and Products It is the sense of the Congress that, to the greatest extent practicable, all equipment and products purchased with funds made available under this award should be American-made.

## 7. CERTIFICATIONS AND SIGNATURES:

This Contract must be signed in ink by an authorized representative of Contractor. The undersigned certifies under penalty of perjury both individually and on behalf of Contractor that:

- A. The undersigned is a duly authorized representative of Contractor, has been authorized by Contractor to make all representations, attestations, and certifications contained in this Contract and to execute this Contract on behalf of Contractor and that this Contract, when executed and delivered, shall be a valid and binding obligation of Contractor enforceable in accordance with its terms;
- B. Contractor is not a contributing member of the Public Employees' Retirement System and will be responsible for any federal or state taxes applicable to payment under this Contract. Contractor will not, by virtue of this Contract, be eligible for federal Social Security, employment insurance, workers' compensation or the Public Employees' Retirement System, except as a self-employed individual.
- Pursuant to OAR 580-061-0030, Contractor certifies that Contractor has not discriminated against Minority, Women or Emerging Small Business Enterprises in obtaining any required subcontracts;
- D. The undersigned hereby certifies under penalty of perjury that the undersigned is authorized to act on behalf of Contractor and that Contractor is, to the best of the undersigned's knowledge, not in violation of any Oregon Tax Laws. For purposes of this certification, "Oregon Tax Laws" means a state tax imposed by ORS 320.005 to 320.150 and 403.200 to 403.250 and ORS chapters 118, 314, 316, 317, 318, 321 and 323 and the elderly rental assistance program under ORS 310.630 to 310.706 and local taxes administered by the Department of Revenue under ORS 305.620.

Each of the parties has caused its duly authorized representative to execute this Contract on the date set forth in its respective signature block below.

#### CONTRACTOR:

| Signature:    | Date: |
|---------------|-------|
| Ву:           |       |
| Title:        |       |
| OSU:          |       |
| Signature:    | Date: |
| Ву:           |       |
| Title:        |       |
| (0.4700.40.4) |       |

## ATTACHMENT A

Statement of Work (Exhibit D of RFP)

## ATTACHMENT B – PRICING SHEET

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|------|------|-----|---|----|
| CERT | IFIC | AT  | Ю | NS |

By signature on this certification the undersigned certifies that they are authorized to act on behalf of the Proposer and that under penalty of perjury the undersigned will comply with the following:

## SECTION I. OREGON TAX LAWS

The undersigned hereby certifies under penalty of perjury that the undersigned is authorized to act on behalf of Proposer and that Proposer is, to the best of the undersigned's knowledge, not in violation of any Oregon Tax Laws. For purposes of this certification, "Oregon Tax Laws" means a state tax imposed by ORS 320.005 to 320.150 and 403.200 to 403.250 and ORS chapters 118, 314, 316, 317, 318, 321 and 323 and the elderly rental assistance program under ORS 310.630 to 310.706 and local taxes administered by the Department of Revenue under ORS 305.620.

## SECTION II. AFFIRMATIVE ACTION

The undersigned hereby certifies that they have not discriminated against Minority, Women or Emerging Small Business Enterprises in obtaining any required subcontracts, pursuant to OAR 580-061-0030 (3).

## SECTION III. COMPLIANCE WITH SOLICITATION

The undersigned agrees and certifies that they:

- 1. Have read, fully understands and agrees to be bound by the Request for Proposal and all Exhibits and Addenda to the Request for Proposal; and
- 2. Are an authorized representative of the Proposer, that the information provided is true and accurate, and that providing incorrect or incomplete information may be cause for rejection of the Proposal or Contract termination; and
- 3. Will furnish the designated item(s) and/or service(s) in accordance with the Request for Proposal and the Contract; and
- 4. Has provided a correct Federal Employer Identification Number or Social Security Number with the Proposal.

## SECTION IV. PERMISSIVE COOPERATIVE PROCUREMENTS

| If Proposer is awarded a contract from this Request for  ☐ agrees ☐ disagrees   | r Proposal, Proposer hereby (check one) |
|---|---|
| to offer the resulting contractual terms and prices to ot   | her public institutions.                |
| Authorized Signature:   | Date:                                   |
| Name (Type or Print):   | Telephone:()                            |
| Title:  | Fax:()                                  |
| FEIN ID# or SSN# (required):  | Email:                                  |
| Company:  |   |
| Address, City, State, Zip:  |   |
| Construction Contractors Board (CCB) License Number   | er (if applicable):                     |
| Business Designation (check one):  ☐ Corporation ☐ Partnership ☐ LLC ☐ Minority, Women & Emerging Small Business (MWES If yes, Minority, Women & Emerging Small Business (I | B) Certified Firm: Lives Lino           |

## EXHIBIT C REFERENCES

## **REFERENCE 1** CONTACT NAME: COMPANY: PHONE NUMBER: ADDRESS: CITY, STATE ZIP: \_\_\_\_\_ FAX NUMBER: E-MAIL: WEBSITE: GOODS OR SERVICES PROVIDED: **REFERENCE 2** CONTACT NAME: COMPANY: PHONE NUMBER: ADDRESS: CITY, STATE ZIP: \_\_\_\_\_ FAX NUMBER: E-MAIL: WEBSITE: GOODS OR SERVICES PROVIDED: **REFERENCE 3** CONTACT NAME: COMPANY: PHONE NUMBER: ADDRESS: CITY, STATE ZIP: FAX NUMBER: E-MAIL: WEBSITE: GOODS OR SERVICES PROVIDED:

## **EXHIBIT D**

#### Statement of Work

- 1) Contractor shall provide materials and services to perform the manufacturing of a new reflector, primary tank inspection and primary tank repair (if any) for the Oregon State University TRIGA Reactor in accordance with the Approved Manufacture plan; Approved Removal and Installation Plan; Approved Primary Tank Inspection Plan; Approved Primary Tank Repair Plan; and Approved Primary Tank Lining Plan. Services include remove and installation (if necessary) of the bellows assembly. Further, Contractor shall:
  - a. fully cooperate with OSU so the facilities at are all times are within the bounds of the USNRC license and the changes to the facilities will meet the approval requirements under 10 CFR 50.59.
  - b. follow all applicable requirements and procedures of the radiation protection, environmental health and safety program, and security of OSU and the OSU Radiation Center.
  - c. assume that any entry into the tank is a confined space entry and ensure that Contractor's staff are appropriately trained.
  - d. provide full set of As-built drawings encompassing the total project upon acceptance of project by OSU. As-built drawings must be approved by a licensed engineer in the State of Oregon.
- 2) Contractor shall provide a point of contact whose duties are to act as a liaison between OSU and Contractor (Contractor Project Manager). The Contractor Project Manager shall:
  - a. Provide direction to the Contractor's Project Team.
  - b. Measure, track and evaluate progress against the project plan.
  - c. Review project progress with the OSU Project Manager.
  - d. Review the Statement of Work and the contractual responsibilities of both parties with the OSU Project Manager.
  - e. Maintain project communication through the OSU Project Manager.
  - f. Establish documentation and procedural standards for Deliverables.
  - g. Review project tasks, schedules, and resources and make changes or additions, as appropriate.
  - h. Prepare weekly status reports.
  - i. Participate in regularly scheduled project status meetings.
- 3) Contractor shall develop project plans as follows:
  - a. Manufacture plan.
    - i. Contractor shall provide OSU with a Manufacture Plan that consists of draft drawings, schedule and quality control plan of all components manufactured to OSU by April 1, 2013, for approval by OSU. The plan is to be based on the following:
      - 1. the option selected by OSU (Option 1 replacement; Option 2 design modification); The first option shall propose a replacement of the current reflector consistent with the as-built drawings, available upon request, with the additional modification of providing voided volumes (i.e., sealed cans) in the sections of the reflector that face each of the four beam ports. The second option shall propose a design modification to eliminate the need for the bellows assembly. For this option, the neutron coupling between the reactor core and the combination of beam port #3 and #4 shall be minimally affected.
      - Contractor is to manufacture of all non-fuel components of the core assembly from the leveling jack screws on up (see GA drawing T2D210J100C - Core Installation), including but not limited to the upper and lower grid plates, safety plate, core barrel, reflector, reflector, bellows assembly (if necessary) for replacement;

- 3. The as-built drawings in possession by OSU of components that will be replaced will be supplied as needed and as available. It is anticipated that some drawings will not be available and will need to be drafted as as-builts by Contractor. Contractor shall provide these drawings at OSU's request.
- 4. The core pedestal are to be manufactured for the purpose of alignment but will not be installed (i.e., the existing core pedestal will not be replaced).
- ii. OSU shall review and make a determination of the plan within 30 calendar days of receipt of the plan (the plan once approved, "Approved Manufacture Plan").
- b. Removal and Installation Plan. Contractor shall provide OSU with a removal and installation plan for removal and replacement of the existing reflector assembly and associated components, including the bellows assembly for the first option. The intent of the plan will be to have the components assembled, including the pedestal structure, prior to lifting into the tank. However, only the components from the leveling jack screws on up will be installed. The pedestal structure on which the leveling jack screws sit will not be replaced. The Contractor shall provide a removal and installation plan to OSU by April 1, 2013, for approval by OSU. OSU shall review and make a determination of the plan within 30 calendar days of receipt of the plan (the plan once approved, "Approved Removal and Installation Plan").
- c. **Primary Tank Inspection Plan.** Contractor shall provide OSU with a **Primary Tank Inspection Plan**. The plan is to be prepared after the existing reflector is removed and the tank drained of water. The plan must include a methodology for inspecting the integrity of any weld seams and wall thickness of the tank liner. The Contractor shall provide the Primary Tank Inspection Plan to OSU by April 1, 2013 for approval by OSU. OSU shall review and make a determination of the plan within 5 working days of receipt of the plan (the plan once approved, "**Approved Primary Tank Inspection Plan**".)
- d. **Primary Tank Repair Plan.** Contractor shall provide OSU with a **Primary Tank Repair Plan.** The plan is to be prepared after the Contractor has inspected the Primary Tank in accordance with the **Approved Primary Tank Inspection Plan.** The Contractor shall provide the Primary Tank Repair Plan to OSU by April 1, 2013 for approval by OSU. OSU shall review and make a determination of the plan within 5 working days of receipt of the plan (the plan once approved, "**Approved Primary Tank Repair Plan**".)
- e. **Primary Tank Lining Plan.** Contractor shall provide OSU with a **Primary Tank Lining Plan.** The Primary Tank Lining Plan is to be based on the following: i) the primary tank is to be coated with the product Belzona 4111, or OSU approved alternate after repairs of the tank are completed and ii) the entire surface of the tank is to be coated. The Contractor shall provide a Primary Tank Lining Plan to OSU by April 1, 2013, for approval by OSU. OSU shall review and make a determination of the plan within 30 calendar days of receipt of the plan (the plan once approved, "**Approved Primary Tank Lining Plan**").
- 4) Contractor shall provide services based on the following assumptions:
  - a. OSU is responsible for defueling the reactor and remove instrumentation, irradiation facilities (including the rotating rack) and storage racks. OSU intends to move these items to the bulk shield tank.
  - b. OSU is responsible for operation within the bounds of the USNRC license and approvals of changes to the facility under 10 CFR 50.59.
  - c. OSU is responsible for operation of the overhead crane.
  - d. OSU is responsible for removal of the collimator and damming of beam port #4.
  - e. OSU is responsible for the handling and disposition of any radioactive wastes.
- 5) Contractor shall provide services in accordance with the approved Project Schedule.
  - a. At the time of Contract execution, the approved Project Schedule is as follows:
    - i. Contractor delivers Manufacture Plan by April 1, 2013.
    - ii. Contractor delivers Removal and Installation Plan by April 1, 2013.
    - iii. Contractor delivers Primary Tank Inspection Plan by April 1, 2013.
    - iv. Contractor delivers Primary Tank Repair Plan by April 1, 2013.
    - v. Contractor delivers Primary Tank Lining Plan by April 1, 2013.

- vi. The reactor is shutdown by July 1, 2013 to ensure at least two months of cooling.
- vii. The reactor is defueled by August 1, 2013.
- viii. The reactor components, to the extent of OSU's responsibility, are removed by September 1, 2013.
- ix. The reactor components, to the extent of the Contractor's responsibility, are removed by September 15, 2013.
- x. The tank inspection is to be completed by October 1, 2013.
- xi. The tank repair is to be completed by October 15, 2013.
- xii. The tank lining is to be completed by November 1, 2013.
- xiii. The reactor components, to the extent of the Contractor's responsibility, are to be installed by December 1, 2013.

The parties recognize it may be necessary to adjust the Project Schedule from time-to-time. Accordingly, the parties agree the Project Schedule may be changed as agreed upon in writing by the Contractor Project Manager and the OSU Project Manager.

# **EXHIBIT E**

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 (See reverse for public burden disclosure.)

Approved by OMB 0348-0046

| 1. Type of Federal Action:   | 2. Status of Federa                     | al Action:           | 3. Report Type:       |                                   |
|--|---|----------------------|-----------------------|-----------------------------------|
| a. contract  | a. bid/offer/application                |                      | a. initial filing     |                                   |
| b. grant   | b. initial award                        |                      | b. materia            | l change                          |
| c. cooperative agreement   | c. post-award                           |                      | For Material (        | Change Only:                      |
| d. loan  |   |                      | year quarter          |                                   |
| e. loan guarantee  | ·                                       |                      | date of last report   |                                   |
| f. loan insurance  |   |                      |                       |                                   |
| 4. Name and Address of Reporting   | g Entity:                               | 5. If Reporting En   | tity in No. 4 is a Su | ıbawardee, Enter Name             |
| Prime Subawardee   | , |                      | ,                     |                                   |
| Tier,  | if known:                               |                      |                       |                                   |
|  |   |                      |                       |                                   |
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| Congressional District, if known   | ; 4c                                    | Congressional I      | District, if known:   |                                   |
|  |   |                      | m Name/Description    | on:                               |
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|  | •                                       |                      |                       |                                   |
|  |   | CFDA Number, i       | f applicable:         |                                   |
|  |   |                      |                       | •                                 |
| 8. Federal Action Number, if known   | า:                                      | 9. Award Amount      | , if known :          |                                   |
|  |   | \$                   |                       |                                   |
| 10. a. Name and Address of Lobby   | ing Posietrant                          | L .                  | forming Candana /     | including address if              |
| (if individual, last name, first n   | ~ ~                                     | different from N     | -                     | including address ii              |
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| A support of the same to the s | t bu (the 2d 1100                       |                      |                       |                                   |
| 11 Information requested through this form is authorized 1352. This disclosure of lobbying activities is a ma  | terial representation of fact           | Signature:           |                       |                                   |
| upon which rellance was placed by the tier above when<br>or entered into. This disclosure is required pursuar  |   | Print Name:          |                       |                                   |
| information will be available for public inspection. An  | y person who fails to file the          |                      |                       |                                   |
| required disclosure shall be subject to a civil penalty on not more than \$100,000 for each such failure.  | f not less than \$10,000 and            | 1                    |                       |                                   |
|  |   | Telephone No.:       |                       | Date:                             |
|  |   |                      |                       | Authorized for Local Reproduction |
| Federal Use Only:  |   |                      |                       | Standard Form LLL (Rev. 7-97)     |

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the Initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
- 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
- 4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient, Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5. If the organization filling the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient, include Congressional District, if known.
- 6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizationallevel below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
- 8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
  - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

## EXHIBIT F – PRICING SHEET

| Proposer | •<br>• |  |
|----------|--------|--|
|          |        |  |

Proposer shall complete and submit the following table with its proposal

|                 | complete and submit the follow                            |            | <u></u>      |
|-----------------|---|------------|--------------|
| Phase           | Deliverable   | Fixed Cost | Hourly Rates |
| Reflector       |   |            |              |
|                 | Option 1:   |            |              |
|                 | <ul> <li>Proposer shall propose a</li> </ul>              |            |              |
|                 | replacement of the current                                |            |              |
|                 | reflector consistent with the                             |            |              |
|                 | as-built drawings and notes                               |            |              |
|                 | (see Attachment B), with the                              |            |              |
|                 | additional modification of                                |            |              |
|                 | providing voided volumes                                  |            |              |
|                 | (i.e., sealed cans) in the                                |            |              |
|                 | sections of the reflector that                            |            |              |
|                 | face each of the four beam                                |            |              |
|                 | ports.  |            |              |
|                 | Option 2:   |            |              |
|                 | Proposer shall propose a                                  |            |              |
|                 | design modification to                                    |            |              |
|                 | eliminate the need for the                                |            |              |
|                 | bellows assembly. For this                                |            |              |
|                 | option, the neutron coupling between the reactor core and |            |              |
|                 | the combination of beam port                              |            |              |
|                 | #3 and #4 shall be minimally                              |            |              |
|                 | affected.   |            |              |
| Tank inspection | Inspection  |            |              |
| Tank Repair     | Repair (assuming repair of                                |            |              |
|                 | twenty (20) linear feet in the                            |            |              |
|                 | weld seams and two (2)                                    |            |              |
|                 | locations of thin walls)                                  |            |              |
|                 | Repair – rates for repair in                              |            |              |
|                 | excess of twenty (20) linear                              |            |              |
|                 | feet in the weld seams or                                 |            |              |
|                 | more than two (2) locations of                            |            |              |
|                 | thin walls  |            |              |
| Tank lining     | Repair Costs  |            |              |
|                 | Total Fixed Cost  |            |              |